

INDIVIDUAL ACCOUNT OPENING FORM

(Individual account, Joint account, Minor account)

(OPTIONS MARKED * ARE COMPULSORY)

Our Products: Fixed Income Note (Naira) ☐ Fixed Income Note (USD) ☐

Portfolio Management Services ☐ Others: _____

A. CLIENT PERSONAL DATA- INDIVIDUAL ☐ **GUARDIAN OF A MINOR** ☐

Title:	*Surname:	
*First Name:	*Other Names:	
*Date of Birth:	*Email:	
*Phone Number:	*BVN:	



Affix Passport Photo here

*Residential Address:

*Gender:	*Nationality:
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*Mother's Maiden Name:	*Occupation:
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Identity Type: Driver's License ☐ National ID Card ☐ Voter's Card ☐ Int Passport ☐ ID Number:

*Are you a Politically Exposed Person (PEP)? Please refer to page 4 (paragraph G) for guidance on the criteria used to determine PEP status Yes ☐ No ☐

Do you have a CSCS account ? If Yes, kindly provide details of your CSCS No/CHN _____
If No, kindly fill the CSCS form attached

* NEXT OF KIN DETAILS

*Full Name:	
*Residential Address:	
	*Date of Birth:
*Relationship:	*Gender:
*Email:	*Phone Number:

*EMPLOYMENT DETAILS AND PURPOSE OF INVESTMENT

*Employment Status: Employed ☐ Self Employed ☐ Retired ☐ Others: _____

Source of Funds: Employment ☐ Business ☐ Others (e.g., Rental, Income, Dividend etc.) _____

*Annual Income: Less than ₦5M ☐ ₦5M - ₦9.9M ☐ ₦10M - ₦49.9M ☐ ₦50M and above ☐

Name of Employer: _____ Nature of Business: _____

Address of Employer: _____

B. MINOR - PLEASE COMPLETE THIS SECTION FOR A MINOR.

*Surname:	*First Name:	 Affix Passport Photo here
*Other Names:	*Date of Birth:	

For a minor account, please provide a signature mandate (Signing rule e.g. either or both other to operate)

Name:	Signature:	Relationship
Name:	Signature:	Relationship

Mandate: Either ☐ Both ☐

C. JOINT ACCOUNT - A PARTNER IS REQUIRED TO COMPLETE THIS SECTION.

Title:	*Surname:	 Affix Passport Photo here
*First Name:	*Other Names:	
*Date of Birth:	*Email:	
*Phone Number:	*BVN:	
*Residential Address:		

*Gender:	*Nationality:
*Mother's Maiden Name:	*Occupation:

Identity Type: Driver's License ☐ National ID Card ☐ Voter's Card ☐ Int Passport ☐ ID Number:

*Are you a Politically Exposed Person (PEP)? Please refer to page 4 (paragraph G) for guidance on the criteria used to determine PEP status Yes ☐ No ☐

For a Joint Account Please provide the following account mandates

- Signing rule

Name:	Signature:
Name:	Signature:

Mandate: Either ☐ Both ☐

D. BANK ACCOUNT DETAILS

I/We hereby instruct Blue Marina Asset Management Limited to transfer all payments due to my account

Account Name:	Account Number:
_____	_____
Bank Name:	Bank Branch:
_____	_____
Tax Identity Number (TIN): _____	

E. DOMICILIARY BANK ACCOUNT DETAILS (where applicable)

Account Name:	Account Number:
_____	_____
Correspondent Bank:	Sort Code:
_____	_____
IBAN (Where Applicable):	Swift Code:
_____	_____
Beneficiary Account Name:	Beneficiary Account No:
_____	_____
Beneficiary Address:	For Further Credit:
_____	_____

F. EMAIL INDEMNITY

I/We, the undersigned _____, hereby authorize Blue Marina Asset Management Limited ("the Fund Manager") to accept and act upon all withdrawal requests and other investment-related instructions transmitted via electronic mail (email) from my/our designated email address provided above, in relation to my/our investment account and holdings in the Fund.

In consideration of the Fund Manager acting on such instructions, I/We hereby undertake to fully indemnify and hold the Fund Manager harmless against any loss, liability, damage, claim, cost, or expense of any nature whatsoever that may arise as a result of the Fund Manager acting on such email instructions from my/our designated email address.

Signed today: _____

NAME:	SIGNATURE:
_____	_____
NAME:	SIGNATURE:
_____	_____

G. POLITICALLY EXPOSED PERSON DEFINITION/CRITERIA:

- (a) Individuals who are/or have been entrusted with prominent public functions by a foreign country, for example, Heads of State or Government, senior politicians, senior government, judicial or military officials, senior executives of State-owned corporations, and important political party officials;
- (b) Individuals who are/or have been entrusted domestically with prominent public functions, for example, Heads of State or Government, senior politicians, senior government, judicial or military officials, senior executives of State-owned corporations, and important political party officials; and
- (c) Persons who are/or have been entrusted with a prominent function by an international organization and includes members of senior management such as directors, deputy directors, and members of the board or equivalent functions;

Provided that the definitions in (a), (b), and (c) above include their family members and close associates.

H. ATTESTATION:

I/We hereby confirm that the information on this form and the supporting documents are accurate and up -to-date as at the time of submission.
I/We hereby agree to indemnity Blue Marina Asset Management Limited for any loss suffered as a result of incorrect or misleading information provided on this form or the supporting documents

Name/Date

Name/Date

I. PRIVACY

Blue Marina Asset Management Limited respects your data and commits to ensuring an adequate level of protection for your data in compliance with applicable laws. Data provided to Blue Marina Asset Management Limited through this form is processed in compliance with applicable laws and in line with our General Data Privacy Policy, which communicates our standard approach and obligations in the collection, use, and disclosure of personal data, per relevant Data Protection Laws.

By attesting to this form, you authorize Blue Marina Asset Management Limited to process your data to enable us onboard you as a client and effectively process your application. You may view our Privacy Policy on our website, www.blumarinaam.com if you require more information about your data processing, you can contact the Company's DPO to lay complaints or make inquiries at: privacy@blumarinaam.com

FULL NAME:	SIGNATURE:
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J. APPLICATION CHECKLIST

1. Duly completed and executed account opening form	☐	3. Birth Certificate (If the applicant is a minor)	☐	5. One (1) passport sized picture	☐
2. Valid Means of Identification (Driver's License, Int'l Passport, Voter's Card or NIN)	☐	4. Proof of Address (must reflect client's current place of residence) E.g. waste bill, water bill, PHCN bill, land use charge/tenement rate bill issued within the last three months	☐	6. Current residence permit (where client is a foreign national)	☐